

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : **Jeffrey K. Lange, Robert H. Paine,
Jeremiah L. Parry-Hill, and
Steven H. Wunrow**

Examiner:
To Be Assigned

Serial No. : **To Be Assigned**

Art Unit:
To Be Assigned

Cnfrm. No. : **To Be Assigned**

Filed : **Herewith**

For : **METHOD AND SYSTEM FOR
PROVIDING AUTOMATED CAPTIONING
FOR AV SIGNALS**



**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.97-1.98**

Assistant Commissioner for Patents
Washington, D.C. 20231
Box: Patent Application

Dear Sir:

Pursuant to 37 CFR §§ 1.97-1.98, applicants hereby bring to the attention of the
United States Patent and Trademark Office, the enclosed references listed on the attached
PTO-1449 form.

Respectfully submitted,

Date: March 6, 2001

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use several sheets if necessary) (PTO-1449)	PRIORITY DOCKET NO. 1819/100121		SERIAL NO. To Be Assigned
	APPLICANT Jeffrey K. Lange, Robert H. Paine, Jeremiah L. Parry-Hill, and Steven H. Wunrow		
	FILING DATE Herewith		GROUP ART UNIT To Be Assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPRO- PRIATE
	1	5,543,851	06 AUG 1996	Chang			
	2	5,677,739	14 OCT 1997	Kirkland			
	3	5,701,161	23 DEC 1997	Williams et al.			
	4	5,737,725	07 APR 1998	Case			
	5	5,774,857	30 JUN 1998	Newlin			
	6	6,076,059	13 JUN 2000	Glickman et al.			
	7	6,166,780	26 DEC 2000	Bray			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS- LATION IF APPRO- PRIATE

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

		8	Abstracts 1-13 [retrieved March 21, 2000]. Retrieved from The Computer Database (CDB), NERAC Inc., Question No. 1065787.002, "Demo: Hearing-Impaired"
		9	Stuckless, "Recognition Means More Than Just Getting the Words Right: Beyond Accuracy to Readability," <u>Speech Technology</u> pgs. 30-35 (October/November 1999)
EXAMINER			DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

JCS97 U.S. PTO
09/800212
03/06/01

EXPRESS MAIL CERTIFICATE

DOCKET NO. : 1819/100121
APPLICANTS : Jeffrey K. Lange, Robert H. Paine, Jeremiah L. Parry-Hill, and
Steven H. Wunrow
TITLE : METHOD AND SYSTEM FOR PROVIDING AUTOMATED
CAPTIONING FOR AV SIGNALS


Certificate is attached to the **Information Disclosure Statement (1 page)** and
PTO-1449 Form (1 page) in duplicate with 9 references of the above-named application.

"EXPRESS MAIL" NUMBER: EL709322700US
DATE OF DEPOSIT: March 6, 2001

I hereby certify that this paper or fee is being deposited with the United States
Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the
date indicated above and is addressed to the Assistant Commissioner for Patents, **Box:**
Patent Application, Washington, D.C. 20231.

John Campa

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